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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/612,816	07/02/2003	Fred O. Hartmann	G351.12-01	3853

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EXAMINER

ART UNIT PAPER NUMBER

DATE MAILED: 03/28/2007

Please find below and/or attached an Office communication concerning this application or proceeding.

**Notification of Non-Compliant Appeal Brief
(37 CFR 41.37)**

Application No.

10/612,816

Applicant(s)

HARTMANN ET AL.

Examiner

Gregory W. Adams

Art Unit

3652

--The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

The Appeal Brief filed on 08 January 2007 is defective for failure to comply with one or more provisions of 37 CFR 41.37.

To avoid dismissal of the appeal, applicant must file an amended brief or other appropriate correction (see MPEP 1205.03) within **ONE MONTH or THIRTY DAYS** from the mailing date of this Notification, whichever is longer.

EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136.

1. ☒ The brief does not contain the items required under 37 CFR 41.37(c), or the items are not under the proper heading or in the proper order.
2. ☒ The brief does not contain a statement of the status of all claims, (e.g., rejected, allowed, withdrawn, objected to, canceled), or does not identify the appealed claims (37 CFR 41.37(c)(1)(iii)).
3. ☐ At least one amendment has been filed subsequent to the final rejection, and the brief does not contain a statement of the status of each such amendment (37 CFR 41.37(c)(1)(iv)).
4. ☐ (a) The brief does not contain a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number and to the drawings, if any, by reference characters; and/or (b) the brief fails to: (1) identify, for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function under 35 U.S.C. 112, sixth paragraph, and/or (2) set forth the structure, material, or acts described in the specification as corresponding to each claimed function with reference to the specification by page and line number, and to the drawings, if any, by reference characters (37 CFR 41.37(c)(1)(v)).
5. ☒ The brief does not contain a concise statement of each ground of rejection presented for review (37 CFR 41.37(c)(1)(vi)).
6. ☒ The brief does not present an argument under a separate heading for each ground of rejection on appeal (37 CFR 41.37(c)(1)(vii)).
7. ☒ The brief does not contain a correct copy of the appealed claims as an appendix thereto (37 CFR 41.37(c)(1)(viii)).
8. ☐ The brief does not contain copies of the evidence submitted under 37 CFR 1.130, 1.131, or 1.132 or of any other evidence entered by the examiner **and relied upon by appellant in the appeal**, along with a statement setting forth where in the record that evidence was entered by the examiner, as an appendix thereto (37 CFR 41.37(c)(1)(ix)).
9. ☐ The brief does not contain copies of the decisions rendered by a court or the Board in the proceeding identified in the Related Appeals and Interferences section of the brief as an appendix thereto (37 CFR 41.37(c)(1)(x)).
10. ☒ Other (including any explanation in support of the above items):

See Continuation Sheet.


GENE O. CRAWFORD
SUPERVISORY PATENT EXAMINER

Continuation of 10. Other (including any explanation in support of the above items): Each section must be denoted by the section number. For example, "Real Party in Interest" is number I, "Related Appeals and Interferences" is number II,... "Claims Appendix" is number VIII...etc.

An appeal brief must contain the statement "[party name] is the real party in interest."

The Grounds of Rejection section must include the statute, e.g. --Whether claim 28 of the present application is unpatentable under 35 U.S.C. 102(b) in view of Howe (US 1,582,045)---.

The Argument section must match the Grounds section insomuch as each grounds corresponds to a heading within the Argument section. For example, the heading "A. Rejection under 35 U.S.C. 102(b) over the Howe patent." does not match "I. Whether claim 28 of the present application is anticipated by the U.S. Patent No. 1,582,045 issued to Howe hereinafter ("the Howe patent")." Applicant may include separate sub-headings when arguing claims individually, but all claims within a grounds must be grouped together in its respective "grounds" heading.

Page 22 of the brief includes arguments directed to claims 14-19, but the "Status" and "Grounds" sections do not list claims 15-19. Applicant must either remove these arguments or amend the "Status" and "Grounds" sections. Claims 15-19 must be listed in the Claims Appendix if on appeal.

Page 26 of the brief includes arguments directed to claims 7, 29-32 & 34, but the "Status" and "Grounds" sections do not list claims 7, 29-32 & 34. Applicant must either remove these arguments or amend the "Status" and "Grounds" sections. Claims 7, 29-32 & 34 must be listed in the Claims Appendix if on appeal..